

PRESS RELEASE

Howard Meyerson to Lead the Financial Information Forum (FIF)

NEW YORK, NY | AUGUST 14, 2020 - The Financial Information Forum (FIF), a leading industry association focused on addressing and resolving open implementation issues that impact the securities industry across the order lifecycle, appoints Howard Meyerson as Managing Director. Howard will manage the organization as FIF continues to be the lead organization for implementation on projects like CAT, Reg 606, front and back office, market data and cloud deployment.

Howard was most recently the Global Chief Compliance Officer at Liquidnet Holdings, Inc. During his time at Liquidnet, Howard managed legal, regulatory and compliance for the introduction and operation of the Liquidnet trading system in 46 countries on 6 continents. He was intimately involved with market structure issues globally, including meetings with SEC Commissioners, SEC staff, Head of FINRA and FINRA staff, Congressional representatives and staff, European Union, UK and Canadian regulators and submission of comment letters globally. Previously, Howard focused on transactional, compliance and regulatory matters for broker-dealers, alternative trading systems, exchanges, investment advisors, hedge funds, swaps and derivatives dealers, CTFC registrants and other firms in the financial services industry at the law firm Morgan Lewis.

As Tom Jordan, FIF Advisory Committee Chair, noted, "This is a significant hire for FIF and will ensure that FIF provides even more value to the community as we work collaboratively to address regulatory change impacting our member firms. Howard's experience working with regulators, buy-side, sell-side and vendors on all levels will serve FIF member firms well. Howard has been an active contributor in FIF, understands the regulatory and implementation issues and potential solutions and he is well positioned to lead FIF."

Howard Meyerson said, "FIF is a unique organization because it focuses on supporting firms with the implementation of regulatory requirements. FIF bridges the gap between the regulatory requirements and the technical implementation. It is a strong advocate for its members and helps build bridges between regulators and the industry. I am excited to take on this new role."

Howard has been an active participant and leader in various financial services Committees and Industry Associations including the following: FINRA Market Regulation Committee, FINRA E-Brokerage Committee, Securities Industry and Financial Markets Association – Technology and Regulation Committee, Financial Information Forum, and the New York City Bar Association Compliance Committee.

Howard holds a BA from Columbia College and earned his JD from Harvard Law School. In addition, he has a Certificate in Analysis and Design of Information Systems from the Columbia University Computer Technology and Applications Program. Howard also holds the Series 7, 14, 24, 57, 63 and 65 licenses in the US and the Partners, Directors and Officers and Chief Compliance Officer licenses in Canada.

CONTACT

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ABOUT FINANCIAL INFORMATION FORUM (FIF)

FIF is an industry trade group comprised of over 100 broker-dealers, exchanges, and financial technology vendors. Established in 1996, FIF seeks to address and resolve open implementation issues that impact the securities industry across the order lifecycle. FIF working groups provide our members with a collaborative environment to discuss critical issues amongst a broad range of subject matter experts. The open communication and sharing of industry best practices fostered by FIF helps our members gain a better understanding of the implementation impact of new initiatives and assists in their development of cost-effective solutions. FIF frequently informs the regulators of the implementation impact of new initiatives and offers alternative solutions through formal comment letters and in-person meetings. www.fif.com